



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification:</b> <b>A61K 9/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/09087</b> <b>(43) International Publication Date:</b> 24 February 2000 (24.02.2000)
<b>(21) International Application Number:</b> PCT/US99/18522 <b>(22) International Filing Date:</b> 13 August 1999 (13.08.1999) <b>(30) Priority Data:</b> 09/134,748 14 August 1998 (14.08.1998) US <b>(60) Parent Application or Grant</b> INCEPT LLC [/]; (). SAWHNEY, Amarpreet, S. [/]; (). JACKSON, Robert, R. ; ().		<b>Published</b>
<b>(54) Title: METHODS FOR FORMING REGIONAL TISSUE ADHERENT BARRIERS AND DRUG DELIVERY SYSTEMS</b> <b>(54) Titre: METHODES DE FORMATION DE BARRIERES ADHERENTES AUX TISSUS DANS DES ZONES DONNEES ET SYSTEMES D'ADMINISTRATION DE MEDICAMENTS</b>  <b>(57) Abstract</b> <p>Methods are provided for forming hydrogel barriers in situ that adhere to tissue and prevent the formation of post-surgical adhesions or deliver drugs or other therapeutic agents to a body cavity. The hydrogels are cross-linked, resorb or degrade over a period of time, and may be formed by free radical polymerization initiated by a redox system or thermal initiation, or electrophilic-neutrophilic mechanism, wherein two components of an initiating system are simultaneously or sequentially poured into a body cavity to obtain widespread dispersal and coating of all or most visceral organs within that cavity prior to gelation and polymerization of the regional barrier. The hydrogel materials are selected to have a low stress at break in tension or torsion, and so as to have a close to equilibrium hydration level when formed.</p> <b>(57) Abrégé</b> <p>L'invention concerne des méthodes de formation de barrières d'hydrogel in situ adhérent aux tissus et empêchant la formation d'adhérences post-chirurgicales ou libérant des médicaments ou d'autres agents thérapeutiques dans une cavité corporelle. Les hydrogels sont réticulés, se résorbent ou se dégradent après une période déterminée et peuvent être formés par polymérisation de radicaux libres initiée par un système d'oxydoréduction ou une initiation thermique ou un mécanisme électrophile-neutrophile ; on introduit simultanément ou séquentiellement deux composants d'un système d'initiation dans une cavité corporelle pour obtenir une dispersion et un revêtement généralisés sur tous les organes viscéraux ou sur la plupart des organes à l'intérieur de cette cavité avant la gélification et la polymérisation de la barrière de zone. Les matières d'hydrogel sont sélectionnées de manière à présenter une faible contrainte de rupture à la tension ou à la torsion, et donc de manière à posséder un niveau d'hydratation proche de l'équilibre à leur formation.</p>		

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>A61K 9/00</b>		<b>A1</b>	(11) International Publication Number: <b>WO 00/09087</b> (43) International Publication Date: 24 February 2000 (24.02.00)
(21) International Application Number: PCT/US99/18522 (22) International Filing Date: 13 August 1999 (13.08.99)  (30) Priority Data: 09/134,748 14 August 1998 (14.08.98) US  (71) Applicant: INCEPT LLC [US/US]; 308 Greenfield Road, San Mateo, CA 94403 (US). (72) Inventor: SAWHNEY, Amarpreet, S.; 164 Springs Road, Bedford, MA 01730 (US). (74) Agents: JACKSON, Robert, R. et al.; Fish & Neave, 1251 Avenue of the Americas, New York, NY 10020 (US).		(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  Published <i>With international search report.</i>	
(54) Title: METHODS FOR FORMING REGIONAL TISSUE ADHERENT BARRIERS AND DRUG DELIVERY SYSTEMS			
(57) Abstract  Methods are provided for forming hydrogel barriers in situ that adhere to tissue and prevent the formation of post-surgical adhesions or deliver drugs or other therapeutic agents to a body cavity. The hydrogels are cross-linked, resorb or degrade over a period of time, and may be formed by free radical polymerization initiated by a redox system or thermal initiation, or electrophilic-neutrophilic mechanism, wherein two components of an initiating system are simultaneously or sequentially poured into a body cavity to obtain widespread dispersal and coating of all or most visceral organs within that cavity prior to gelation and polymerization of the regional barrier. The hydrogel materials are selected to have a low stress at break in tension or torsion, and so as to have a close to equilibrium hydration level when formed.			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## D scription

5

10

15

20

25

30

35

40

45

50

55

METHODS FOR FORMING REGIONAL TISSUE ADHERENT  
BARRIERS AND DRUG DELIVERY SYSTEMS

5 Field Of The Invention

25 The present invention relates to methods of  
forming polymeric barriers to prevent post-surgical  
tissue adhesion and the use of such barriers to deliver  
drugs.

30 10 Background Of The Invention

35 The formation of post-surgical adhesions  
involving organs of the peritoneal cavity and the  
peritoneal wall is a frequent and undesirable result of  
abdominal surgery. Surgical trauma to the tissue  
15 caused by handling and drying results in release of a  
serosanguinous (proteinaceous) exudate that tends to  
collect in the pelvic cavity. If the exudate is not  
40 absorbed or lysed within a short time following the  
surgery, it becomes ingrown with fibroblasts.

20 Subsequent collagen deposition leads to adhesion  
45 formation.

Numerous previously known methods have been  
developed to attempt to eliminate adhesion formation,  
50 but with limited success. Such methods include lavage

SUBSTITUTE SHEET (RULE 26)

- 2 -

5 of the peritoneal cavity, administration of  
pharmacological agents, and the application of barriers  
to mechanically separate tissues. For example, Boyers  
10 et al., "Reduction of postoperative pelvic adhesions in  
5 the rabbit with Gore-Tex surgical membrane," *Fertil.*  
*Steril.*, 49:1066 (1988), describes the use GORE-TEX® (a  
registered trademark of W.L. Gore & Assocs., Inc.,  
15 Newark, DE), expanded PTFE surgical membranes to  
prevent adhesions. Holtz, "Prevention and management  
10 of peritoneal adhesions," *Fertil. Steril.*, 41:497-507  
(1984) provides a general review of adhesion  
20 prevention. None of the methods described in those  
articles has been cost effective and efficacious in in  
vivo studies.

25 15 Most adhesion prevention strategies have  
focused on either pharmacological approaches or barrier  
approaches. Pharmacological approaches have mainly  
relied on the local instillation of drugs such as  
30 antiinflammatory or fibrinolytic compounds. The  
20 advantage of the pharmacological approach is that the  
drugs can have not only a local but also a regional  
effect. The regional effect is particularly useful  
35 because, although iatrogenic injury is associated with  
adhesion formation, it is often difficult to predict  
25 all of the sites that may have been traumatized or  
exposed to ischemia during surgery. For example,  
40 during open surgical procedures, tissue often may be  
subjected to long periods of desiccation and surgical  
handling.

30 30 The word "local" as used herein is meant to  
45 connote a specific site on a tissue or organ surface,  
which for example is felt to be at risk for adhesion  
formation. The term "regional" as used herein, is  
50 meant to connote the general cavity or space within

- 3 -

5 which any of several organs are at risk for adhesion  
formation, but where it is for example, difficult to  
predict all the sites where such adhesions may form.

10       Instillation of drugs in regional spaces,  
5 such as the peritoneal cavity, has been widely adopted  
for the prevention of post-surgical adhesions.  
Unfortunately, most drugs administered in this fashion  
15 have a limited residence time at the site of  
instillation and are rapidly cleared. Also, delivery  
10 problems attributable to ischemia may reduce the  
effectiveness of the drugs. In addition, adhesions may  
20 develop not only due to surgical insults, but also due  
to a variety of pathologies and etiologies that may not  
be addressed using a pharmacological approach.

25       In view of the foregoing, it would be  
desirable to provide methods of preventing post-  
surgical tissue adhesion that overcome the drawbacks of  
previously known methods while providing the regional  
30 benefits obtained from pharmacological approaches.

35       Previously known barrier methods rely on the  
ability to interpose an inert or absorbable material in  
between organs at risk of formation of adhesions. A  
variety of materials have been used as barriers,  
including pentapeptides or elastin, trypsin treated  
25 gamma-irradiated amniotic membranes, polyesterurethane-  
polydimethylsiloxane, carboxymethylcellulose sponge,  
collagen etc. These previously known materials,  
40 however, have been used primarily in academic contexts  
and have not been developed as commercial products.

30       Commercially available local barriers, such  
as sold under the name INTERCEED™, a registered  
45 trademark of Johnson and Johnson, Inc., New Brunswick,  
NJ, SEPRAFILM™, Genzyme Corp., Cambridge, MA and REPEL™  
under development by Life Medical Corp., Edison, NJ,  
50

- 4 -

5 rely on interposing a barrier material that is absorbed  
within a 28 day period to reduce adhesion formation.  
10 These barriers, however, may have limited efficacy due  
to migration of the barriers from a local implantation  
5 site. Moreover, these barriers do not provide the  
regional effect observed with pharmacological barriers.

15 Barriers that may be applied as a liquid also  
have been used, such as hyaluronic acid based products  
such as SEPRACOAT™, marketed by Genzyme Corp.,  
10 Cambridge, MA. U.S. Patent No. 5,140,016 to Goldberg  
et al. describes a method and composition for  
20 preventing surgical adhesions using a dilute solution  
of a hydrophilic polymer such as hyaluronic acid. U.S.  
Patent No. 5,190,759 to Lindblad et al. describes a  
25 composition and method for prevention of adhesions  
using solutions containing dextran and hyaluronic acid.  
These liquid barriers are rapidly cleared from a body  
cavity after instillation and thus may not be effective  
30 in preventing adhesions. Instead, such compositions  
20 are more effective as tissue protecting solutions  
during surgery rather than for the prevention of post-  
surgical adhesions.

35 Previously known attempts to prolong the  
residence of flowable barriers have attempted to form  
25 lightly crosslinked liquid barriers that still retain  
their flow characteristics. Thus, for example,  
40 LUBRICOAT™, available from Lifecore Biomedical Inc.,  
Chaska, MN, is a ferric hyaluronate crosslinked slurry  
considered for adhesion prevention. This material has  
30 been found to have only limited efficacy, however,  
45 because the barrier tends to migrate from the  
application site. Thus, tissues that naturally appose  
each other still form adhesions.



- 5 -

5  
10  
15  
20  
25  
30  
35  
40  
45  
50  
55

Other natural and synthetic polymers also have been considered to prevent adhesion formation. U.S. Patent No. 5,605,938 to Roufa et al. describes methods and compositions for inhibiting cell invasion and fibrosis using dextran sulfate. The patent teaches that anionic polymers effectively inhibit invasion of cells associated with detrimental healing processes. The materials described, however, are not covalently polymerized, do not have mechanical integrity and do not bind to tissue. Such materials also may interfere with normal wound healing during the postoperative period.

Hydrogels are materials which absorb solvents (such as water), undergo rapid swelling without discernible dissolution, and maintain three-dimensional networks capable of reversible deformation. Because of their high water content and biocompatibility, hydrogels have been proposed for use as barriers for adhesion prevention.

20  
25  
30  
35  
40  
45  
50  
55

U.S. Patent No. 4,994,277 to Higham et al. describes the use of xanthan gum for preventing adhesions, wherein the hydrogel is more viscous than blood and is soluble in aqueous solutions. The water solubility of that gel system, however, enhances clearing and migration of the barrier. U.S. Patent No. 4,911,926 to Henry et al. describes a method and composition for reducing post-surgical adhesions using aqueous and non-aqueous compositions comprising a polyoxyalkylene block copolymer. The resulting thermoreversible gels are not covalently crosslinked and have no mechanical integrity, thus making the barrier readily susceptible to displacement from the application site. The foregoing materials have shown limited efficacy in clinical trials.

- 6 -

U.S. Patent No. 5,126,141 to Henry describes a composition and method for post-surgical adhesion reduction with thermo-irreversible gels of polyoxyalkylene polymers and ionic polysaccharides.

5 These aqueous gels are rendered thermally irreversible upon contact with a counter-ion. A serious drawback of such systems is the biodegradability and absorbability of such barriers. Because there is no clear mechanism for the degradation of these ionically crosslinked materials, the barriers may remain biostable for uncertain periods of time and adversely impact the patient's health.

A similar disadvantage exists with respect to the barrier system described in U.S. Patent No.

15 5,266,326 to Barry et al. That patent describes the in situ modification of alginate to form a hydrogel in vivo. Ionically crosslinked polysaccharides such as alginate are not absorbable in humans since no enzyme exists in humans to degrade the  $\beta$  glycosidic linkages. Moreover, the high molecular weight of the alginates used (upwards of 200,000 Da) do not allow filtration through the kidneys. The inability to eventually biodegrade the material is considered a major drawback.

U.S. Patent No. 4,911,926 to Henry et al. describes aqueous and nonaqueous compositions comprised of block polyoxyalkylene copolymers that form gels in the biologic environment to prevent post-surgical adhesion. Other gel forming compositions have been suggested for use in preventing post-surgical adhesion, including: chitin derivatives (U.S. Patent No. 5,093,319 to Henry et al.); chitosan-coagulum (U.S. Patent No. 4,532,134 to Higham et al.); and hyaluronic acid (U.S. Patent No. 4,141,973 to Balazs).

- 7 -

5 U.S. Patent No. 4,886,787 to de Belder et al.  
describes a method of preventing adhesion between body  
tissues by employing a degradable gel of a crosslinked  
10 carboxyl-containing polysaccharide. U.S. Patent No.  
5 5,246,698 to Leshchiner et al. describes biocompatible  
viscoelastic gel slurries formed from a hyaluronan or a  
derivative thereof. The foregoing crosslinked gels are  
15 not formed in situ, but rather formed outside the body  
and then implanted as flowable gels. While covalent  
20 crosslinking of these materials may prolong residence  
time of the barrier within a body cavity, because the  
barriers are not formed in situ they do not adhere to  
the tissues within the body cavity and present a risk  
of migration.

15 Covalently crosslinked hydrogels (or  
aquagels) have been prepared based on crosslinked  
polymeric chains of methoxy poly(ethylene glycol)  
monomethacrylate having variable lengths of the  
polyoxyethylene side chains. Interaction of such  
30 hydrogels with blood components has been studied. See,  
20 e.g., Nagaoka, et al., in Polymers as Biomaterial  
(Shalaby et al., Eds.), Plenum Press, p. 381 (1983). A  
35 number of aqueous hydrogels have been used in various  
biomedical applications, such as, for example, soft  
25 contact lenses, wound management, and drug delivery.  
However, methods used in the preparation of these  
40 hydrogels, and conversion of these hydrogels to useful  
articles, are not suitable for forming these materials  
in situ in contact with living tissues.

30 U.S. Patent No. 5,462,976 to Matsuda et al.  
45 describes photocurable glycosaminoglycan derivatives,  
crosslinked glycosaminoglycans and the use of such  
materials for tissue adhesion prevention. These  
50 materials, however, require external energy sources for

transformation.

U.S. Patent 5,410,016 to Hubbell et al. describes free radical polymerizable and biodegradable hydrogels that are formed from water soluble macromers. The patent describes the prevention of post-surgical adhesions using a local photopolymerization method, which shares the same disadvantage of requiring an external energy source. The patent also describes materials that are polymerizable by other free radical mechanisms, such as thermal or redox types of initiation.

Although these latter types of polymerization may be effectively exploited for the formation of regional barriers, only local methods for prevention of adhesion are taught in Hubbell et al. Also, effective concentrations used for the formation of local barriers using the aforementioned materials have been in the 10%-30% macromer concentration range, reflecting the structural integrity required to prevent migration of a locally adherent barrier. Such concentrations of hydrogel are unsuitable for regional barrier formation for several reasons, including:

1. The amount of macromer solution required for a regional barrier formation is in the range of 200 ml - 3000 ml. At a 10-30% concentration the macromer would approach its toxicity limits for human use.

2. The structural integrity of the hydrogels formed at the foregoing concentrations may result in adverse effects similar to those seen from adhesions themselves, for example, due to the mobility restrictions that may result on visceral organs. Thus, formation of regional barriers at such concentrations may lead to postoperative pain and bowel obstructions.

- 9 -

5  
10  
15  
20  
25  
30  
35  
40  
45  
50  
55

3. Since such hydrogels have been observed to have an equilibrium water content in the range of 2-8%, the additional hydration of a large hydrogel mass in the abdominal or pelvic cavity may constrict and deform organs and tissue and thus have adverse effects. See, e.g., Sawhney et al., "Bioerodible hydrogels based on photopolymerized poly(ethylene glycol)-co-poly( $\alpha$ -hydroxy acid) diacrylate macromers", *Macromolecules*, 26:581-587 (1993).

10  
20  
25  
30  
35  
40  
45  
50  
55

In view of the foregoing, it would be desirable to provide in situ formation of regional barriers by macromer solutions at concentrations close to the equilibrium hydration levels to reduce or prevent post-surgical adhesion formation.

15  
20  
25  
30  
35  
40  
45  
50  
55

It further would be desirable to provide methods that enable a surgeon to create a regional barrier with little reliance on skill and accuracy of placement, thereby overcoming some of the significant drawbacks of previously known local adhesion prevention barriers.

#### Summary Of The Invention

35  
40  
45  
50  
55

In view of the foregoing, it is an object of this invention to provide methods of preventing post-surgical tissue adhesion that overcome the drawbacks of previously known methods while providing the regional benefits obtained from pharmacological approaches.

40  
45  
50  
55

It is another object of this invention to provide in situ formation of regional barriers by macromer solutions at concentrations close to equilibrium hydration levels, to reduce or prevent post-surgical adhesion formation.

50  
55

It is a further object of the present invention to provide methods that enable a surgeon to

- 10 -

5  
10  
create a regional barrier with little reliance on skill and accuracy of placement, thereby overcoming some of the significant drawbacks of previously known local adhesion prevention barriers.

5  
15  
It is yet another object of this invention to provide methods of delivering drugs or other bioactive molecules to organs within a body cavity using a tissue adherent hydrogel layer that has a predictable residence time.

10  
20  
These and other objects of the present invention are accomplished in accordance with the principles of the present invention by providing methods of using hydrogels to form regional barriers in situ to prevent the formation of post-surgical  
25  
adhesions. The regional hydrogel layers of the present invention also may be used to deliver drugs or other therapeutic agents to the region of interest, typically a body cavity.

30  
20  
Several methods for the formation of regional adhesion barriers are described, in which any of a variety of water soluble macromeric precursors are used. The term "macromeric precursor" or "macromer" is  
35  
meant to connote an oligomeric or polymeric molecule that contains functional groups that enable further  
25  
polymerization. Preferably the functionality of a macromer molecule is  $>1$  so that a crosslinked network  
40  
or hydrogel results upon polymerization. Hydrogels that resorb or degrade over a period of time are preferred, and more preferably, those that resorb  
30  
within one or a few months.

45  
In a preferred method, a crosslinked regional barrier is formed in situ, for example, by free radical polymerization initiated by a redox system or thermal initiation, wherein two components of an initiating  
50

- 11 -

5 system are simultaneously, sequentially or separately  
instilled in a body cavity to obtain widespread  
10 dispersal and coating of all or most visceral organs  
within that cavity prior to gelation and crosslinking  
5 of the regional barrier. Once the barrier is formed,  
the organs remain isolated from each other for a  
predetermined period, depending upon the absorption  
15 profile of the adhesion barrier material.

Preferably, the barrier does not undergo  
10 significant hydration, and is selected to have a low  
stress at break in tension or torsion, so as to not  
20 adversely affect normal physiological function of  
visceral organs within the region of application. The  
barrier also may contain a drug or other therapeutic  
15 agent.

#### Detailed Description Of The Invention

Preferred macromers suitable for practicing  
the methods of the present invention include water  
30 soluble crosslinkable polymeric monomers that have a  
20 functionality >1 (i.e., that form crosslinked networks  
on polymerization) and that form biodegradable  
hydrogels. The in situ formed hydrogels of the present  
35 invention may be crosslinked using several types of  
initiating systems. Some of these initiating systems  
25 require an external energy source, for example, in the  
form of radiation, focused ultrasound, or other means.  
40 Photopolymerization using ultraviolet or visible  
radiation has been widely used to polymerize free  
radically crosslinkable materials.

45 30 Within an animal or human body, at the sites  
of localized disease, it is useful to control the  
polymerization process to reduce or prevent post-  
surgical adhesion. The location of post-surgical  
50 adhesion formation, however, often is not predictable,

- 12 -

and occurs not at the site of iatrogenic intervention. Instead, the location of adhesions depends on many factors, including pre-existing disease, ischemia, etc.

In accordance with the present invention, methods are provided that permit diffuse coating of wide and complicated tissue geometries to form "regional" barriers, by coating essentially all tissues in the region of intervention with an adherent crosslinked hydrogel barrier.

The process of the present invention is conceptually similar to "hydroflotation," which entails filling up a body cavity with a lubricious fluid to float the organs within the cavity in isolation of each other. In hydroflotation, the fluid is invariably rapidly absorbed and cleared, leading promptly to organ apposition and adhesion formation.

In accordance with the principles of the present invention, an in situ formed hydrogel is used to "float" the organs for substantially longer than is possible with hydroflotation methods. Whereas hydroflotation has been associated with fluidic imbalances in the patient resulting from the use of hyperosmolar fluids, the method of the present invention does not rely on osmolality. Instead, it is the crosslinked structure of the hydrogel that prolongs residence of the barrier within the body cavity. Thus, the precursor solutions and the resulting hydrogel barrier may be iso-osmolar with the surrounding physiological fluids, and do not create any fluidic imbalances.

For macromers that possess ethylenically unsaturated bonds, regional barriers may be formed for example, by a free radically initiated polymerization. This may be undertaken using chemically (such as a



- 13 -

5 redox system) and thermally activated initiating  
systems. Photopolymerization processes may optionally  
be used, but such processes typically are better suited  
10 for a local polymerization approach as opposed to a  
5 regional one. This is so because some tissues and  
organs may not transmit light of the wavelength being  
used. Also, photopolymerization generally is  
15 restricted to a "spot-by-spot" approach, and is  
unsuitable when it may be difficult to predict where  
10 the adhesions are likely to originate.

Other means for polymerization of macromers  
20 to form regional barriers may also be advantageously  
used with macromers that contain groups that  
demonstrate activity towards functional groups such as  
25 amines, imines, thiols, carboxyls, isocyanates,  
urethanes, amides, thiocyanates, hydroxyls etc. that  
may either be naturally present in, on, or around  
tissue or may be optionally provided in the region as  
30 part of the instilled formulation required to effect  
20 the barrier.

#### Materials Suitable for Formation of Regional Barriers

35 Absorbable polymers, often referred to as  
biodegradable polymers, have been used clinically in  
25 sutures and allied surgical augmentation devices to  
eliminate the need for a second surgical procedure to  
40 remove functionally equivalent non-absorbable devices.  
See, e.g., U.S. Patent No. 3,991,766 to Schmitt et al.  
and Encyclopedia of Pharmaceutical Technology (Boylan &  
30 Swarbrick, Eds.), Vol. 1, Dekker, New York, p. 465  
(1988). Interest in using such absorbable systems,  
45 with or without biologically active components, in  
medical applications has grown significantly over the  
past few years. Such applications are disclosed in  
50

- 14 -

Bhatia, et al., *J. Biomater. Sci., Polym. Ed.*, 6(5):435 (1994); U.S. Patent No. 5,198,220 to Damani; U.S. Patent No. 5,171,148 to Wasserman, et. al.; and U.S. Patent No. 3,991,766 to Schmitt et al.

Absorbable hydrogels that may be formed and crosslinked in situ to form a network are preferred materials for practicing the current invention. Synthesis and biomedical and pharmaceutical applications of absorbable or biodegradable hydrogels based on covalently crosslinked networks comprising polypeptide or polyester components as the enzymatically or hydrolytically labile components, respectively, have been described by a number of researchers. See, Jarrett et al., "Bioabsorbable Hydrogel Tissue Barrier: In Situ Gelatin Kinetics," *Trans. Soc. Biomater.*, Vol. XVIII, 182 (1995); Sawhney et al., "Bioerodible hydrogels based on photopolymerized poly(ethylene glycol)-co-poly( $\alpha$ -hydroxy acid) diacrylate macromers", *Macromolecules*, 26:581-587 (1993); Park, et al., Biodegradable Hydrogels for Drug Delivery, Technomic Pub. Co., Lancaster, PA., 1993; Park, "Enzyme-digestible swelling hydrogels as platforms for long-term oral drug delivery: synthesis and characterization," *Biomaterials*, 9:435-441 (1988).

Hydrogels described in the literature include, for example, those made of water-soluble polymers, such as polyvinyl pyrrolidone, which have been crosslinked with naturally derived biodegradable components such as those based on albumin.

Totally synthetic hydrogels are based on covalent networks formed by the addition polymerization of acrylic-terminated, water-soluble chains of polyether-poly( $\alpha$ -hydroxyester) block copolymers. These

- 15 -

5 materials are among those preferred for practicing the  
present invention because they have been used for in  
vivo applications and have been demonstrated to be  
10 biocompatible. Details of compositions and methods to  
5 synthesize such materials have been described in U.S.  
Patent No. 5,410,016 to Hubbell et al., which is  
incorporated herein by reference.

15 Preferred macromers for use in forming  
regional barriers for prevention of adhesion in  
10 accordance with the principles of the present invention  
include any of a variety of in situ polymerizable  
20 macromers that form hydrogel compositions absorbable in  
vivo. These macromers, for example, may be selected  
from compositions that are biodegradable,  
25 polymerizable, and substantially water soluble  
macromers comprising at least one water soluble region,  
at least one degradable region, and statistically more  
than 1 polymerizable region on average per macromer  
30 chain, wherein the polymerizable regions are separated  
20 from each other by at least one degradable region. The  
individual regions that comprise such macromers are  
described in detail below.

#### 35 Water Soluble Regions

The water soluble region is selected from any  
25 of a variety of natural, synthetic, or hybrid polymers  
the group consisting of poly(ethylene glycol),  
40 poly(ethylene oxide), poly(vinyl alcohol), poly(allyl  
alcohol), poly(vinylpyrrolidone), poly(ethyleneimine),  
poly(allylamine), poly(vinyl amine), poly(aminoacids),  
45 30 poly(ethyloxazoline), poly(ethylene oxide)-co-  
poly(propyleneoxide) block copolymers, polysaccharides,  
carbohydrates, proteins, and combinations thereof.

Random copolymers of monomers that form water  
50 soluble polymers also may be used, for example,

- 16 -

5 copolymers of vinyl amine and allyl alcohol. These  
types of random copolymers are preferred when the  
10 crosslinking reaction is mediated by nucleophilic or  
electrophilic functional groups. The water soluble  
5 region also may be selected from species that are  
capable of being rendered hydrophilic in a post-polymer  
reaction. For example, vinyl esters of carboxylic  
15 acids such as vinyl formate, vinyl acetate, vinyl  
monochloroacetate, and vinyl butyrate, may be  
10 copolymerized with the afore-described copolymerizable  
macromolecular monomers. Subsequent to the  
20 copolymerization reaction, the polymeric backbone  
(containing repeating monomeric units of these vinyl  
esters of carboxylic acids) may be rendered hydrophilic  
15 by hydrolysis to the resulting polyvinyl alcohol. In  
other words, the polymeric backbone comprises a  
polyvinyl alcohol.

Suitable species that may be polymerized and  
30 used in preparing the hydrophilic polymeric backbone of  
20 the macromers useful in the present invention include:  
acrylic and methacrylic acid;  
water-soluble monoesters of acrylic  
35 and methacrylic acid in which the  
ester moiety contains at least one  
25 hydrophilic group such as a  
hydroxy group, i.e., the hydroxy  
lower alkyl acrylates and  
40 methacrylates, typical examples of  
which include:  
30 2-hydroxyethyl acrylate,  
45 2-hydroxyethyl methacrylate,  
2-hydroxypropyl acrylate,  
2-hydroxypropyl methacrylate,  
3-hydroxypropyl acrylate,

- 17 -

5 3-hydroxypropyl methacrylate,  
diethylene glycol  
monomethacrylate,  
10 diethylene glycol monoacrylate,  
5 dipropylene glycol  
monomethacrylate, and  
dipropylene glycol monoacrylate;  
15 water-soluble vinyl monomers having  
at least one nitrogen atom in the  
10 molecule, examples of which  
include:  
20 acrylamide,  
methacrylamide,  
methylolacrylamide,  
15 methylolmethacrylamide,  
diacetone acrylamide  
N-methylacrylamide,  
N-ethylacrylamide,  
N-hydroxyethyl acrylamide,  
30 N,N-disubstituted acrylamides,  
20 such as N,N-dimethylacrylamide,  
N,N-diethylacrylamide, N-  
ethylmethylacrylamide, N,N-  
35 dimethylolacrylamide, and N,N-  
25 dihydroxyethyl acrylamide  
heterocyclic nitrogen containing  
40 compounds such as N-pyrrolidone,  
N-vinyl piperidone, N-  
acryloylpyrrolidone, N-  
30 acryloylpiperidine, and N-  
45 acryloylmorpholine; and  
cationic functional monomers, for  
example, vinyl pyridene quaternary  
50 ammonium salts and dimethyl

SUBSTITUTE SHEET (RULE 26)

- 18 -

aminoethyl methacrylate quaternary ammonium salts.

Suitable hydrophobic copolymerizable monomers also may be interpolymerized with hydrophobic copolymerizable macromolecular monomers and the aforementioned hydrophilic copolymerizable comonomers, so long as the ultimate products of biodegradation are water soluble. Hydrophobic species may include the alkyl acrylates and methacrylates, e.g., methylacrylate or methylmethacrylate, ethylacrylate or ethylmethacrylate, propylacrylate or propylmethacrylate, butylacrylate or butylmethacrylate, butylacrylate being preferred. Other suitable hydrophobic copolymerizable comonomers include vinyl chloride, vinylidene chloride, acrylonitrile, methacrylonitrile, vinylidene cyanide, vinyl acetate, vinyl propionate, and vinyl aromatic compounds such as styrene and alpha-methylstyrene, and maleic anhydride.

#### Degradable Regions

The degradable region is selected from any of a variety of polymers that undergo either hydrolytic, enzymatic, or thermal decomposition by bond scission of linkages so as to produce ultimately soluble and physiologically cleared molecules. Preferable biodegradable polymers, oligomers or even single moieties can be selected from the group consisting of poly( $\alpha$ -hydroxy acids), poly(lactones), poly(amino acids), peptide sequences, oligonucleotides, poly(saccharides), poly(anhydrides), poly(orthoesters), poly(phosphazenes), and poly(phosphoesters), poly(urethanes), poly(amides), poly(imines), poly(esters), phosphoester linkages and combinations, copolymers, blends, etc. In some cases the water soluble and the degradable region may be one and the

- 19 -

same, for example, in the case of proteins and poly(saccharides) that are degraded by naturally existing enzymes within the body.

#### Polymerizable Regions

The polymerizable end groups in these macromers may consist of groups that either react within themselves, with added excipients, or with the surface of tissue to form tissue protective coatings that function as regional barriers. Preferable end groups that mainly react within themselves may be selected from ethylenically unsaturated functional groups such as acrylate, allyl, vinyl, methacrylate, cinnamate, or other ethylenically unsaturated functional groups.

Polymerizable groups may be selected from nucleophilic groups and their salts that react further, for example, with acylating agents. Useful nucleophilic groups may include primary, secondary, tertiary, or quaternary amino, amide, urethane, urea, hydrazide or thiol groups. These functional groups may be present along the main chain of the water soluble macromer or present only at the end groups. When they are present along the main chain of the macromer, they may be evenly spaced, as in a block copolymer, or they may be randomly spaced.

For example, Shearwater Polymers, Huntsville, AL, sell p-PEGs which contain pendant functional groups. Optionally these groups may be spaced from the polymeric main chain (either at the chain ends or along the backbone) by spacer groups that may contain ester linkages. The preparation of macromers containing amino acid esters of PEG is described, for example, in Zalipsky et al., "Esterification of Polyethylene Glycols," *J. Macromol. Sci. Chem.*, A21:839 (1984). The

- 20 -

5 presence of such linkages can impart desirable  
properties such as speed of polymerization and  
predictable instability of the linkage.

10 Nucleophilic functional group-containing  
5 macromers optionally may be mixed with electrophilic  
group-containing macromers to rapidly initiate  
polymerization. It should be noted that several  
15 nucleophilic and electrophilic functional groups are  
naturally present in proteins, polysaccharides,  
10 glycosaminoglycans, and oligonucleotides that  
constitute tissue, cells, and organs and thus both  
20 nucleophilic and electrophilic macromers may react with  
appropriate naturally occurring functional groups in  
the absence of any additional externally added  
15 macromers.

25 For purposes of the present invention,  
however, reaction rates are more predictable and the  
resulting hydrogel will have more predictable  
properties if both components are added externally so  
30 as to initiate polymerization and formation of the  
hydrogel. Electrophilic groups that may be useful to  
react with the aforementioned nucleophilic groups may  
include carboxyl groups that may or may not be  
35 separated from the polymeric main chain (either at the  
25 chain ends or along the backbone) by spacer groups that  
may contain ester linkages (for example esters of  
succinic acid, carboxymethyl esters, esters of  
40 propionic, adipic, or amino acids), among others.

Other useful groups include isocyanate,  
30 thiocyanate, N-hydroxy succinamide esters such as  
succinamide as well as succinamide groups that are  
45 spaced by groups such as esters or amino acids, among  
others such as succinimidyl succinates, succinimidyl  
propionates, succinimidyl succinates, succinimidyl  
50



- 21 -

5 esters of carboxymethylated water soluble polymers,  
benzotriazole carbonates, and any of a variety of  
carbodiimides also may be selected. PEG succinimidyl  
10 succinates, PEG succinimidyl propionates, succinimidyl  
5 esters of amino acid or carboxymethylated PEG, and PEG  
succinamidyl succinamides are particularly suitable as  
electrophilically active macromers that react with  
15 nucleophilic group-containing macromers due to their  
high reactivity at physiological pH and speed of  
10 polymerization.

Other useful electrophilic macromers may  
20 contain functional groups such as glycidyl ethers (or  
epoxides) or hydroxyl group containing polymers that  
have been activated with 1,1,-carbonyl diimidazole (for  
15 example PEG-oxycarbonylimidazole) or p-nitrophenyl  
chlorocarbonates (e.g., PEG nitrophenyl carbonate),  
25 tresylates, aldehydes and isocyanates. Other groups  
reactive towards nucleophilic moieties may include for  
example anhydrides.

20 Thus, for example, a polymer of maleic  
anhydride when copolymerized with allyl or vinyl group  
containing water soluble polymers (such that the vinyl  
35 or allyl or other ethylenically unsaturated  
functionality is 1 per molecule or lower) forms a water  
25 soluble co-polymer that contains anhydride groups along  
the backbone. These anhydride groups are reactive  
towards any of the various nucleophilic groups  
40 mentioned hereinabove. Other electrophilic groups,  
that are more selective towards specific nucleophiles  
30 (such as sulfhydryl groups), also may be used, such as  
45 vinylsulfone, maleimide, orthopyridyl disulfide or  
iodoacetamide containing macromers.

It is to be understood that more than one  
50 type of electrophilic group or nucleophilic group may

- 22 -

be present as a part of a macromer chain, so that multiple levels of reactivities may be built into the materials. In fact, both electrophilic and nucleophilic groups may be built into the same molecule and the solution prepared at a pH where the reactivity between these functional groups is low. A second solution that restores the appropriate pH upon mixing then may be added to initiate the crosslinking reaction.

Also, the concentration and number of the functional groups may be varied to obtain different rates of reactivity. The pH of the solutions may be varied to control rates of reaction, and the properties of the resulting crosslinked hydrogel also may be tailored by appropriate selection of the reactive macromers. For example, a higher molecular weight between crosslinks may lead to the formation of a lower modulus and more flexible hydrogel.

#### Delivery of Bioactive Species

The regional barriers of the present invention further may have bioactive molecules either dissolved or dispersed within them. The dispersed or dissolved drugs may be present as a particulate suspension, that either may or may not further be contained in a secondary containment membrane or coating, microspheres, or microcapsule. The materials for such secondary coating and containment also may be selected from any of a variety of biodegradable natural or synthetic hydrophobic materials that provide resistance to diffusion of small molecules, especially water soluble small molecules.

The biologically active molecules may include proteins (including growth factors and enzymes that may demonstrate bioactivity), carbohydrates, nucleic acids

- 23 -

5 (both sense and antisense as well as gene fragments for  
gene therapy), organic molecules, inorganic  
10 biologically active molecules, cells, tissues, and  
tissue aggregates. Biologically active molecules may  
5 include any of the beneficial drugs as are known in the  
art, and described, for example, in Pharmaceutical  
Sciences, by Remington, 14th Ed., 1979, published by  
15 Mack Publishing Co.; The Drug, The Nurse, The Patient,  
Including Current Drug Handbook, by Falconer et al.,  
10 1974-1976, published by Saunder Company; and Medicinal  
Chemistry, 3rd Ed., Vol. 1 and 2, by Burger, published  
20 by Wiley-Interscience Co.

The drugs selected may serve to act against  
an underlying pathological condition that is suspected  
15 to contribute to the formation of adhesions, such as  
25 drugs that interfere with the polymerization of fibrin,  
serve as anticoagulants (such as heparin, hirudin,  
etc.) or act to dissolve fibrin clots or disrupt the  
native fibrinogen (such as tissue plasminogen  
20 activator, urokinase, streptokinase, streptodornase,  
anacrod, etc). Drugs having an antiinflammatory effect  
may be used, such as medroxyprogesterone acetate, which  
35 has been observed to reduce postoperative adhesion  
formation in animal studies. Other antiinflammatory  
25 compounds such as antibodies to IL-6, IL-1, TNF- $\alpha$ , and  
TGF- $\beta$  have demonstrated efficacy as well.

Preferably, the drugs are directed to a  
process unique to adhesion formation, and which does  
40 not disrupt normal healing. For example,  
30 pentoxifylline, a drug used to treat intermittent  
45 claudication, and calcium channel blockers, such as  
verapamil, have been shown to reduce postoperative  
adhesion formation. It is thus expected that the  
50 delivery of one or more therapeutic compounds in a

- 24 -

hydrogel-based regional barrier capable of controlled release may further enhance the prevention of postoperative adhesions. Thus, drugs that may be advantageously delivered using the regional barrier of the present invention include antiinflammatory compounds, antifibrinolytics, targeted modulators that interfere with the pathways of adhesion formation, such as IL-10 and antibodies to various cytokines, and immunomodulators.

Drugs delivered by the regional barrier also may serve to supplement the overall therapeutic regimen for the particular patient by delivering a drug or a combination of drugs that address another disease state. For example, physiologically active materials or medicinal drugs, such as agents affecting the central nervous system, antiallergic agents, cardiovascular agents, agents affecting respiratory organs, agents affecting digestive organs, hormone preparations, agents affecting metabolism, antitumor agents, antibiotic preparations, chemotherapeutics, antimicrobials, local anesthetics, antihistaminics, antiphlogistics, astringents, vitamins, antifungal agents, peripheral nervous anesthetics, vasodilators, crude drug essences, tinctures, crude drug powders, immunosuppressants, hypotensive agents, and the like may be delivered.

Drugs that are delivered using the regional barriers of the present invention may include both water soluble as well as partially water soluble or even lipophilic drugs. The drugs may be small molecules or macromolecular in nature. Particular water-soluble polypeptides which may be used in this invention are, for example, oxytocin, vasopressin, tissue plasminogen activator, urokinase, and other

- 25 -

5 fibrinolytic enzymes, adrenocorticotrophic hormone  
(ACTH), epidermal growth factor (EGF), transforming  
growth factor antagonists, prolactin, luteinizing hormone releasing hormone (LH-RH), LH-RH  
10 agonists or antagonists, growth hormone, growth hormone  
releasing factor, insulin, somatostatin, bombesin  
antagonists, glucagon, interferon, gastrin,  
15 tetragastrin, pentagastrin, urogastrone, secretin,  
calcitonin, enkephalins, endomorphins, angiotensins,  
20 renin, bradykinin, bacitracins, polymyzins, colistins,  
tyrocidin, gramicidines, and synthetic analogues and  
25 modifications and pharmaceutically-active fragments  
thereof, monoclonal antibodies and soluble vaccines.

The water-soluble drugs that may be delivered  
15 by this method are not specifically limited. Examples  
include peptides having biological activities, other  
20 antibiotics, antitumor agents, antipyretics,  
analgesics, anti-inflammatory agents, antitussive  
expectorants, sedatives, muscle relaxants,  
30 antiepileptic agents, antiulcer agents,  
antidepressants, antiallergic agents, cardiotonics,  
antiarrhythmic agents, vasodilators, hypotensive  
35 diuretics, antidiabetic agents, anticoagulants,  
hemostatics, antituberculous agents, hormone  
25 preparations, narcotic antagonists, bone resorption  
inhibitors, angiogenesis inhibitors and the like.

Examples of antitumor agents include  
40 bleomycin hydrochloride, methotrexate, actinomycin D,  
mitomycin C, vinblastine sulfate, vincristine sulfate,  
30 daunorubicin hydrochloride, adriamycin,  
neocarzinostatin, cytosine arabinoside, fluorouracil,  
45 tetrahydrofuryl-5-fluorouracil krestin, picibanil,  
lentinan, levamisole, bestatin, azimexon, glycyrrhizin,  
poly I:C, poly A:U, poly ICLC, cisplatin and the like.

- 26 -

5                   The terms "cytokine" and "growth factor" are  
used to describe biologically active molecules and  
active peptides (which may be either naturally  
10                   occurring or synthetic) that aid in healing or regrowth  
5 of normal tissue, including growth factors and active  
peptides. The function of cytokines is two-fold: (1)  
to incite local cells to produce new collagen or  
15                   tissue, or (2) to attract cells to a site in need of  
correction. For example, one may incorporate cytokines  
10 such as interferons (IFN), tumor necrosis factors  
(TNF), interleukins, colony stimulating factors (CSFs),  
20 or growth factors such as osteogenic factor extract  
(OFE), epidermal growth factor (EGF), transforming  
growth factor (TGF) alpha, TGF- $\beta$  (including any  
25                   combination of TGF- $\beta$ s), TGF- $\beta$ 1, TGF- $\beta$ 2, platelet  
derived growth factor (PDGF-AA, PDGF-AB, PDGF-BB),  
acidic fibroblast growth factor (FGF), basic FGF,  
30                   connective tissue activating peptides (CTAP),  $\beta$ -  
thromboglobulin, insulin-like growth factors,  
20 erythropoietin (EPO), nerve growth factor (NGF), bone  
morphogenic protein (BMP), osteogenic factors, and the  
like.

35                   Suitable biologically-active agents for use  
in the present invention also include oxygen radical  
25                   scavenging agents such as superoxide dismutase or anti-  
inflammatory agents such as hydrocortisone, prednisone  
40                   and the like; antibacterial agents such as penicillin,  
cephalosporins, bacitracin and the like; antiparasitic  
agents such as quinacrine, chloroquine and the like;  
30                   antifungal agents such as nystatin, gentamicin, and the  
45                   like; antiviral agents such as acyclovir, ribavirin,  
interferons and the like; antineoplastic agents such as  
methotrexate, 5-fluorouracil, adriamycin, taxol,  
50                   taxotere, tumor-specific antibodies conjugated to

- 27 -

5 toxins, tumor necrosis factor, and the like; analgesic  
agents such as salicylic acid, acetaminophen,  
10 ibuprofen, flurbiprofen, morphine and the like; local  
anesthetics such as lidocaine, bupivacaine, benzocaine  
5 and the like; vaccines such as hepatitis, influenza,  
measles, rubella, tetanus, polio, rabies and the like;  
central nervous system agents such as a tranquilizer,  
15  $\beta$ -adrenergic blocking agent, dopamine and the like;  
growth factors such as colony stimulating factor,  
10 platelet-derived growth factors, fibroblast growth  
factor, transforming growth factor B, human growth  
20 hormone, bone morphogenetic protein, insulin-like  
growth factor and the like; hormones such as  
progesterone, follicle stimulating hormone, insulin,  
25 somatotropins and the like; antihistamines such as  
diphenhydramine, chlorpheniramine and the like;  
cardiovascular agents such as digitalis,  
nitroglycerine, papaverine, streptokinase and the like;  
30 vasodilators such as theophylline, niacin, minoxidil,  
and the like; and other like substances.

The regional hydrogel barriers also may be  
used to delivery antitumor, antineoplastic, or  
35 anticancer agents to the body cavity, wherein multiple  
tumor sites exist and it may not be possible to  
25 accurately identify all sites of disease.

#### 40 Physical and Mechanical Characteristics of Materials Suitable for Formation of Regional Barriers

Materials suitable for use in forming the  
regional barriers in accordance with the present  
30 invention preferably have certain physical and  
45 mechanical attributes. These include safety,  
effectiveness at adhesion prevention, absorbability,  
non-inflammatoriness, compatibility with laparoscopic  
50 use, ease of use, efficacy at sites distant to surgery,

- 28 -

5 lack of interference with normal healing, suitability  
as a pharmaceutical carrier, and conformity to tissue.  
10 While no adhesion barrier material may possess all of  
these properties, the materials described hereinabove  
5 satisfy many of these criteria.

In addition to the foregoing criteria,  
15 crosslinked materials suitable for use as regional  
tissue adherent adhesion barriers or drug delivery  
systems in accordance with the present invention should  
20 exhibit the following characteristics: (1) the  
materials should not obstruct the normal functioning of  
internal organs; and (2) these materials should not  
cause a substantial hydraulic imbalance after  
instillation and polymerization.

25 The first requirement ensures that, despite  
the extensive regional presence of the barrier  
throughout a body cavity, it will not impede normal  
tissue movement. Thus, even though the hydrogel  
30 barrier is crosslinked, it should not have the  
structural strength to adhere or bind organs together  
20 tenaciously. It is instead preferable that the barrier  
have weak cohesive strength and fail within the bulk of  
the material, rather than constrict organs to which it  
35 is applied. Desirable materials are expected to have  
stress at shear or tensile loading failure of less than  
25 1 MPa. More preferably, the stress at failure should  
be between less than 300 KPa, and more preferably, less  
40 than 100 KPa.

The regional barriers need not form bulk  
30 hydrogels, but may form coatings on tissue upon  
45 instillation that may be thin and of the order of 1-  
1000 microns in thickness. In fact, the coating even  
may be formed as a surface modification of the tissue  
by instillation of macromers that have a reactivity to  
50



- 29 -

functional groups found on the surface of the tissues at risk for formation of adhesions. The instillation of the precursor solutions may be simultaneous or sequential, with a first solution coating tissue for some period of time and the subsequent solution being administered just prior to completion of the surgical procedure and closure of the surgical access points or incision.

The quantity of water contained within a hydrogel may be evaluated as "% Water Content," defined as:

$$\% \text{ Water Content} = 100 \times \frac{(\text{Wet Hydrogel} - \text{Dry Hydrogel})}{\text{Wet Hydrogel}}$$

where:

Wet Hydrogel - the weight of wet hydrogel; and  
Dry Hydrogel - the weight of dry hydrogel.

Hydrogels continue to absorb water from surrounding aqueous fluids until they reach an equilibrium level of hydration. During this process the addition increase in water content can be determined by measuring the % Hydration, which is defined as:

$$\% \text{ Hydration} = 100 \times \frac{(\text{Wt. Hydrogel}_{\text{Eq}} - \text{Wt. Hydrogel}_f)}{\text{Wt. Hydrogel}_f}$$

where:

Wt. Hydrogel<sub>Eq</sub> - the weight of hydrogel at equilibrium; and  
Wt. Hydrogel<sub>f</sub> - the weight of hydrogel at formation.

- 30 -

5                   The requirement that the barrier material not  
create a hydraulic imbalance in situ arises from the  
relatively large volumes of such materials that are  
10 needed to form regional barriers as opposed to local  
5 barriers. It is expected, for example, that a typical  
use of regional barrier material in accordance with the  
present invention will involve the instillation of  
15 precursor materials in excess of 200 ml, possibly in  
excess of 500 ml, and in some cases, even as high as  
10 3000 ml. Due to such relatively large volumes of  
instillates, it is important that the resulting  
20 regional barrier be relatively isotonic and near  
equilibrium hydration, i.e. it will not continue to  
absorb fluid from within the body cavity and induce  
25 fluid imbalance in the patient.

Similarly, the materials used to form the  
regional barriers of the present invention also should  
be close to the equilibrium level of hydration. Thus,  
30 the barrier will not appreciably increase in size by  
20 hydrating substantially after formation and thus will  
not impose undesirable mechanical obstructions within  
the body cavity. Accordingly, materials that hydrate  
35 less than 100% beyond their own weight in physiological  
aqueous solutions, at time of formation, are preferred.  
25 More preferable are materials that hydrate less than  
50% of their own weight, and more preferably, materials  
40 that hydrate less than 20% beyond their initial weight  
at time of formation.

It is to be understood, based upon the  
30 foregoing discussion, that materials suitable for  
45 practicing the present invention should have many of  
the other beneficial properties expected of adhesion  
barrier materials, such as not eliciting an  
inflammatory response. If the barrier material  
50

- 31 -

5 generates a significant inflammation, it may enhance  
the formation of adhesions, rather than reducing or  
eliminating them. For example talc, which is  
10 considered to be an inflammatory material, is often  
5 used to create adhesions within the chest cavity by a  
regional instillation.

15 The hydrogel barriers formed in accordance  
with the methods of the present invention preferably  
are absorbed over time by natural physiological  
10 processes, so that the organs within the region of  
interest ultimately return to their original  
20 conformations. Absorption of the barrier material is  
defined herein as a lack of physical evidence of  
presence of the barrier at the application site.  
15 Preferably, the regional barriers of the present  
invention should absorb within 6 months, more  
preferably within 2 months, and most preferably within  
1 month.

#### 30 Free radical Initiating Systems

20 Many previously known chemical systems that  
use free radical polymerization do not depend on  
external energy sources such as photoexcitation. Such  
35 systems advantageously may be used at physiological  
conditions of temperature and do not create  
25 physiologically toxic effects at the concentrations  
used. For example, Roland et al., "Recent Developments  
40 in Free-Radical Polymerization-A Mini Review," *Progress  
in Organic Coatings*, 21:227-254 (1992), presents an  
overview of the free radical polymerization process,  
30 with an emphasis on recent developments.

45 U.S. Patent No. 4,511,478 to Nowinski et al.  
describes several types of oxidation-reduction  
initiators, including: (1) peroxides in combination

- 32 -

5 with a reducing agent, e.g., hydrogen peroxide with  
ferrous ion or other transition metal ions, or benzoyl  
peroxide with an N,N-dialkylaniline or toluidine, and  
10 (2) persulfates in combination with a reducing agent,  
5 such as sodium metabisulfite or sodium thiosulfate.

Specifically, ammonium persulfate, benzoyl  
peroxide, lauryl peroxide, tert-butyl hydroperoxide,  
15 tert-butyl perbenzoate, cumene hydroperoxide, dibenzoyl  
peroxide, tert-butyl peroctoate, tert-butyl peracetate,  
10 di-tert-amyl peroxide, di-tert-butyl peroxide, tert-  
amyl perpivalate, butyl per-2-ethyl-hexanoate, tert-  
20 butyl perpivalate, tert-butyl perneodecanoate, tert-  
butyl perisononanoate, tert-amylperneodecanoate, di-2-  
ethyl-hexyl peroxydicarbonate, dicyclohexyl  
15 peroxydicarbonate, cumyl perneodecanoate, tert-butyl  
permaleate, 1,3-bis-(t-butylperoxyisopropyl)benzene,  
succinic acid peroxide, bis(1-hydroxycyclohexyl)-  
peroxide, isopropyl percarbonate, methyl ethyl ketone  
30 peroxide, and dicumyl peroxide, potassium ferricyanide,  
20 potassium permanganate, ceric sulfate, pinane  
hydroperoxide, di-isopropylbenzene hydroperoxide and  
other oxidizing compounds including combinations  
35 thereof with reducing agents, such as transition metal  
ions, sodium hyposulfite, sodium metabisulfite, sodium  
25 sulfide, sodium thiosulfate, hydrazine hydrate, sodium  
bisulfite or sodium thiosulfate, may be used. Sodium  
40 bisulfite alone may be used for polymerization.

Other initiators suitable for use in  
accordance with the methods of the present invention  
30 include, but are not limited to azo initiators.  
45 Preferred thermally active free radical polymerization  
initiators for use in the present invention may  
include, but are not limited to,  
50 diazodiisobutyrodinitrile, 2,2'-azobis-

- 33 -

(isobutyronitrile), 2,2'-azobis(2,4-dimethylvaleronitrile), 2,2'-azobis(cyclohexanenitrile), 2,2'-azobis(2-methylbutyronitrile), 2,2'-azobis(2,4-dimethyl 4-methoxyvaleronitrile), mixtures thereof and several like azo initiators such as those sold by Wako Chemical Co., Richmond, VA. Mixtures of two or more initiators also may be used, if desired.

Another group of catalysts, useful mainly for low temperature polymerization, include redox systems such as potassium persulfate-riboflavin, potassium persulfate-sodium bisulfite. Various compounds such as N,N,N',N'-tetramethylethylenediamine and dimethyl toluidine may be used to accelerate the effect of the catalysts. Other suitable catalyst(s) and accelerant(s) may be used to catalyze the polymerization.

#### Inhibitors of Free Radical Polymerization

Free radical-inhibitors are generally used in the production, transportation and/or storage of systems that are reactive via free radicals to definitely exclude that the system will undergo premature reaction. With respect to the foregoing polymerizable materials, the addition of numerous compounds and/or systems that function as free radical-inhibitors are known, including, for example, hydrides such as lithium aluminum hydride, calcium hydride or sodium borohydride.

Further known examples serving this purpose are phenols, phenol derivatives, hydroquinone and hydroquinone derivatives or, especially, phenothiazine. As typical examples there may be mentioned cumene, hydroquinone, 2,6-di-tert-butyl-p-cresol, BHT, BHA, anisole, 2,6-di-tert-butyl-4-methoxyphenol, bis(2-hydroxy-3-tert-butyl-5-methylphenyl)methane, bis(3,5-

- 34 -

5 di-tert-butyl-4-hydroxyphenyl)methane, bis(2-hydroxy-3-  
tert-butyl-5-methylphenyl)sulfide, bis(3-tert-butyl-4-  
hydroxy-5-methyl-phenyl)sulfide, or also amines such as  
10 diphenylamine, N,N'-diphenyl-p-phenylene diamine, 2-  
5 phenylbenzimidazole, aniline, dinitrobenzene, 2-nitro-  
 $\alpha$ -naphthol, tetraphenylethylene, triphenylmethane and  
vitamin E.

#### 15 Methods of Instillation

In accordance with the methods of the present  
10 invention, macromer solutions used in forming regional  
barriers may be instilled by pouring, spraying (e.g.,  
20 using two or more spray nozzles that simultaneously  
spray more than one solution into the region of  
interest), or by devices such as infusion catheters  
25 (e.g., dual lumen catheters or nozzles with mixing  
tips), funnel like devices, syringes, or bellows like  
devices with either dual chambers with a distal mixing  
tip, which is optionally attached, or with two separate  
30 devices that are either simultaneously or sequentially  
20 employed, etc.

The solutions may be selected so as to have  
active ingredients separated in two or more solutions  
35 that enable the polymerization upon mixing or on  
contact. Thus, for example, elements of a redox  
25 initiating system may be present in separate macromer  
solutions that either may be used simultaneously,  
40 sequentially or separately after an intervening  
interval of time to effect polymerization. In order to  
provide control of hydrogel formation, the barriers of  
30 the present invention may also include colored  
indicator substances such as phenol red (0.04-0.008%),  
thymol blue (0.04-0.1%), furoxone (0.02-0.4%), rivanol  
(0.45-0.75%) or picric acid (0.01-0.03%); or  
50 antibiotics such as tetracycline (0.7-0.17%),

- 35 -

mithramycin (0.1-0.4%), or chlortetracycline (0.1-0.4%). (All percentages are w/v.)

As a result, a color change, such as a green color, will be observed after mixing or penetration of these colored substances (e.g., one is blue, other is yellow). The color changes also may be usefully observed as a result of pH change when two macromeric solution streams that are instilled into the body cavity are mixed, such macromeric solutions being selected such that the crosslinking reaction only occurs when an appropriate pH is reached, which is indicated by the presence of the pH sensitive colorimetric indicator.

Colored species also may be generated as part of the in situ reaction process. For example, the use of p-nitrophenyl activated PEG as a crosslinking molecule with a poly(amine) such as poly(ethyleneimine) will result in the generation of a yellow color due to the formation of p-nitrophenol as a reaction byproduct. This attribute of color appearance may be used to monitor successful deployment of the regional adhesion barrier.

The macromer solutions will typically be used at the end of the particular surgical procedure but may also be used during or even before undertaking the particular surgical procedure so as to serve as tissue protectants during the surgical procedure by hydrating and lubricating such tissues during the surgery. If thermal initiating systems are used, premature polymerization may be prevented by maintaining the solutions at low temperature so that polymerization occurs when physiological temperatures are attained upon instillation.

## EXAMPLES

Example 1

A macromer is synthesized as described in U.S. Patent 5,410,016 to Hubbell et al. The macromer may be an acrylated copolymer of poly(ethylene glycol) (M.W. 20,000) and dl-lactide (3-5 equivalents). The material is dissolved in water to form a solution that is 5% w/w, and the solution is divided into two parts. To part A is added enough hydrogen peroxide to give a 150 ppm concentration of  $H_2O_2$ . To part B is added enough of a ferrous gluconate salt to achieve a concentration of 3000 ppm. It may be verified that on mixing approximately equal parts of these two solutions, a flexible hydrogel is formed within 10 seconds of pouring into a mold, in the absence of activation by any external energy source.

Example 2

To assess the efficacy of the regional adhesion barrier of Example 1, the following experiment may be conducted. Twelve Sprague Dawley male rats having an average weight of 250 g are divided into two groups of 6 for treatment and control, respectively. The abdomen is shaved and prepared with a betadine solution. A midline incision is made under anesthesia. The cecum is located and 4 to 5 scrapes made on a region about 2 x 1 cm on one side of the cecum, using a 4 x 4 in gauze pad to produce serosal injury and punctuate bleeding. Other abdominal organs also may be allowed to desiccate for 10 minutes during this period. The abdominal incisions in these animals are closed using a continuous 4-0 silk suture for the musculo-peritoneal layer and 7.5 mm stainless steel staples for the cutaneous layer. A topical antibiotic



- 37 -

then is applied at the incision site.

The first group consists of 6 animals serving as controls without treatment, to confirm the validity of the model. The second group of 6 animals serves as a treatment with the application of the regional barrier. Approximately 5 cc of solution A described in Example 1 is applied to the injury site and over all the abdominal organs using a pipet. Care should be taken to ensure complete application to all organs. The muscular layer of the abdominal incision then is closed as above until the final suture tie is ready to be placed. At this time 5 cc of solution B from Example 1 above is instilled into the abdominal cavity. The walls of the abdominal cavity should be briefly massaged to ensure dispersal of the solutions and the closure of the abdominal and skin layers completed.

Three of the 6 animals in each group are sacrificed at the end of two days and 3 of the remaining animals in each group are sacrificed at the end of two weeks by CO<sub>2</sub> asphyxiation. The incisions are reopened and gross observations recorded. If adhesions are present, they should be scored for location, extent, and tenacity. The extent of adhesions should be reported as a percentage of the traumatized area of the cecum which forms adhesions with adnexal organs or the peritoneal wall. Tenacity of the adhesions is scored on a scale from 0 to 4: no adhesions - grade 0; tentative transparent adhesions which frequently separate on their own - grade 1; adhesions that give some resistance but can be separated by hand - grade 2; adhesions that require blunt instrument dissection to separate - grade 3; and dense thick adhesions which require sharp instrument dissection in the plane of the adhesion to separate - grade 4. It is expected that in the presence

- 38 -

of the regional adhesion barrier, significant reduction in the extent of adhesion formation will occur.

\* \* \*

Modifications and variations of the present invention, the macromers and polymeric compositions and methods of use thereof, will be obvious to those skilled in the art from the foregoing detailed description. Accordingly, various changes and modifications may be made therein without departing from the invention, and the appended claims are intended to cover all such changes and modifications that fall within the true spirit and scope of the invention.

## Claims

5

10

15

20

25

30

35

40

45

50

55

What Is Claimed Is:

1. A method of forming a regional barrier to reduce adhesion of tissue to internal structures in a body cavity following surgery:  
providing a pharmaceutically acceptable hydrogel system comprising first and second components;  
instilling the first component within the body cavity to coat the internal structures;  
instilling the second component within the body cavity to coat the internal structures; and  
polymerizing at least the first component in situ to form a tissue adherent hydrogel that coats the internal structures to reduce adhesion of tissue to the internal structures.
2. The method of claim 1 wherein polymerizing at least the first component comprises mixing the first and second components.
3. The method of claim 1 wherein instilling the first and second components comprises instilling the first and second components simultaneously.
4. The method of claim 1 wherein instilling the first and second components comprises instilling the first and second components sequentially.
5. The method of claim 4 wherein instilling the first component protects the internal structures during surgery and instilling the second component is performed upon completion of surgery.

- 40 -

5  
6. The method of claim 1 wherein providing a  
pharmaceutically acceptable hydrogel system comprises  
providing a first component having at least one water  
10 soluble region, at least one degradable region, and at  
least one polymerizable region.

15 7. The method of claim 2 wherein each of the  
first and second components includes a polymerizable  
region, and crosslinking the first and second components  
comprises polymerizing the first and second components so  
that polymerizable regions of the first and second  
20 components react.

25 8. The method of claim 2 wherein polymerizing  
at least the first component comprises polymerizing the  
first component by a mechanism selected from a group  
consisting of: a free radical mechanism, and an  
electrophilic-neutrophilic mechanism.

30 9. The method of claim 1 wherein polymerizing  
at least the first component comprises polymerizing the  
first component to form a tissue adherent hydrogel at a  
substantially equilibrium hydration level.  
35

40 10. The method of claim 1 wherein polymerizing  
at least the first component comprises polymerizing the  
first component to form a tissue adherent hydrogel that  
is substantially isotonic.

45 11. The method of claim 1 wherein polymerizing  
at least the first component comprises polymerizing the  
first component to form a tissue adherent hydrogel having  
a tensile strength less than 1 MPa.

- 41 -

5  
12. The method of claim 1 further comprising  
biodegrading the tissue adherent hydrogel within a  
predetermined period of time.

10  
13. The method of claim 12 wherein  
biodegrading the tissue adherent hydrogel within a  
predetermined period of time comprises biodegrading the  
15 tissue adherent hydrogel within one month.

20  
14. The method of claim 1 wherein providing a  
pharmaceutically acceptable hydrogel system comprises  
providing a pharmaceutically acceptable hydrogel system  
wherein at least one of the first and second components  
contains a bioactive molecule that provides a therapeutic  
25 benefit.

30  
15. The method of claim 14 wherein providing a  
pharmaceutically acceptable hydrogel system wherein at  
least one of the first and second components contains a  
bioactive molecule comprises providing a pharmaceutically  
acceptable hydrogel system wherein at least one of the  
first and second components contains a drug selected from  
35 the group consisting of small molecules, macromolecules,  
proteins, peptides, oligonucleotides, carbohydrates and  
proteoglycans.

40  
16. The method of claim 14 wherein providing a  
pharmaceutically acceptable hydrogel system wherein at  
least one of the first and second components contains a  
bioactive molecule comprises providing a pharmaceutically  
45 acceptable hydrogel system wherein at least one of the  
first and second components contains a drug selected from  
the group consisting of drugs that interfere with the  
process of adhesion formation and drugs that are used to  
50

- 42 -

5 treat inflammation, cancer and endometriosis.

10 17. The method of claim 1 wherein the first component contains a color indicator, the method further comprising changing the color indicator responsive to a degree of mixing of the first and second components.

15 18. A method of delivering bioactive molecules to internal structures in a body cavity following surgery:

20 providing a pharmaceutically acceptable hydrogel system comprising first and second components, at least one of the first and second components containing a bioactive molecule that provides a therapeutic benefit;

25 instilling the first component within the body cavity to coat the internal structures;

30 instilling the second component within the body cavity to coat the internal structures; and

polymerizing at least the first component in situ to form a tissue adherent hydrogel that coats the internal structures.

35 19. The method of claim 18 wherein polymerizing at least the first component comprises mixing the first and second components.

40 20. The method of claim 18 wherein instilling the first and second components comprises instilling the first and second components simultaneously.

45 21. The method of claim 18 wherein instilling the first and second components comprises instilling the first and second components sequentially.

50

- 43 -

5  
22. The method of claim 21 wherein instilling  
the first component protects the internal structures  
during surgery and instilling the second component is  
10 performed upon completion of surgery.

23. The method of claim 18 wherein providing a  
pharmaceutically acceptable hydrogel system comprises  
15 providing a first component including at least one water  
soluble region, at least one degradable region, and at  
least one polymerizable region.

20 24. The method of claim 23 wherein each of the  
first and second components includes a polymerizable  
region, and polymerizing the first and second components  
comprises polymerizing the first and second components so  
25 that polymerizable regions of the first and second  
components interact.

30 25. The method of claim 18 wherein  
polymerizing at least the first component comprises  
polymerizing the first component by a mechanism selected  
from the group consisting of: a free radical mechanism,  
35 and an electrophilic-neutrophilic mechanism.

40 26. The method of claim 18 wherein  
polymerizing at least the first component comprises  
polymerizing the first component to form a tissue  
adherent hydrogel at a substantially equilibrium  
hydration level.

45 27. The method of claim 18 wherein  
polymerizing at least the first component comprises  
polymerizing the first component to form a tissue  
adherent hydrogel that is substantially isotonic.  
50



- 44 -

5                   28. The method of claim 18 wherein  
polymerizing at least the first component comprises  
polymerizing the first component to form a tissue  
10           adherent hydrogel having a tensile strength less than 1  
MPa.

15                   29. The method of claim 18 further comprising  
biodegrading the tissue adherent hydrogel within a  
predetermined period of time.

20                   30. The method of claim 18 wherein the first  
component contains a color indicator, the method further  
comprising changing the color indicator responsive to a  
degree of mixing of the first and second components.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/18322

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61K 9/00

US CL :424/484

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/484

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,140,016 A (GOLDBERG et al) 18 August 1992, entire document.	1-30

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

02 NOVEMBER 1999

Date of mailing of the international search report

22 NOV 1999

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

Edward J. Webman

Telephone No. (703) 308-0196